



Know Your Customer (KYC) and FATCA-CRS Application Form (NRI)

Please fill the information in CAPITAL Letters and in appropriate places

The information is sought under Prevention of Money Laundering Act, 2002, the rules notified thereunder and RBI guidelines on Know Your Customer For existing Depositor, the information furnished herein will supersede the information available in the records of SFL

Customer's Details (as per KYC documents)

Customer ID: (If existing Investors)

*PAN (*Form 97)

CKYC No (if any)

*Gender : M F Others

*Date of Birth

*Name

D

D

M

M

Y

Y

Y

Y

*Father Name

*Mother Name

Spouse Name (If Married)

Country of Birth

City of Birth

*Communication Address:

City

State

*Pin

Country

Birth Place

*Nationality

*Citizenship

*Permanent Address:

City

State

*Pin

Country

*Marital Status:

☐ Married

☐ Unmarried

☐ Others

Paste latest passport size photograph with signature (DO NOT STAPLE)

IGNORE if already submitted earlier

*Mobile No

Email ID

* Fields are Mandatory

Mandatory for E-Receipt

^If investment amount is less than or equal to ₹50,000/- or aggregating to less than ₹5,00,000/- during financial year

Type of visa: Single Entry Multiple Entry Residence Permit Work Permit Others

Visa Work Permit No. Issue Date / / Place of Issue Valid Upto / /

*Category Member of Public Shareholder Director Relative of Director Promoter

*Occupation Type: Salaried Professional Self Employed Student Housewife Retired Other (Please specify)

*If Self Employed Nature of Business: Manufacturing Professionals Service Provider Trader Agriculture Jewellers/Bullion Real Estate Stock Broker Other (Please specify)

*Please tick (✓) If the following is applicable to you Politically Exposed Person (PEP) Relative of PEP Not Applicable

*Annual Income:

☐ Upto Rs. 3 Lakhs

☐ Above Rs. 3 Lakhs - 6 Lakhs

☐ Above Rs. 6 Lakhs - 15 Lakhs

☐ Above Rs. 15 Lakhs - 30 Lakhs

☐ Above Rs. 30 Lakhs

Source of Fund:

☐ Salaried☐ Business Income☐ Agriculture☐ Investment Income☐ Sale of Asset☐ Other (Please Specify)

*Person with Disability: Differently Aabled YES NO

If yes and Investor is not an existing customer, then annexure for Differently abled (Annexure XXI) to be attached

*Proof of Identity (Self Attested)

ID No. Expiry Date

☐ Aadhaar issued by UIDAI☐ Passport☐ Driving Licence☐ Voter ID Card☐ Others :

*Proof of Address (Self Attested)

Aadhaar issued by UIDAI Expiry Date

☐ Aadhaar issued by UIDAI☐ Passport☐ Driving Licence☐ Voter ID Card☐ Others :

Please tick applicable tax resident declaration: (Any one)*

☐ I am a tax resident of India and not resident of any other country

or

☐ I am a tax resident of the country/ies mentioned below

Country	Tax identification Number	Identification Type (TIN or Other please specify)	Address Type for Tax Purpose	Address for Tax Purpose
			☐ Residential	☐ Business
			☐ Communication	☐ Permanent
			☐ Registered office	
			☐ Please note Below	
			Pin:	State:
				Country:

#To also include USA, where the individual is a citizen/green card holder of USA %In case Tax Identification No. is not available, kindly provide functional equivalents.

Depositor Declaration

I/We certify that:

(i) I/We have read and understood the FATCA-CRS Terms and Conditions and here by accept the same.

(ii) All the particulars (including Taxpayer Identification Number) given hereby are true, correct and complete to the best of my/our knowledgeand belief.

(iii) I/We shall submit a new form to Shriram Finance Ltd., within 30 days if any information or certification in this form becomes incorrect/ changed.

(iv) I/We agree that as may be required by regulators, Shriram Finance Ltd. may be required to report my/our details to such regulators or close or suspend my/our account without any obligation of advising me/us of the same.

(v) I/We understand that Shriram Finance Ltd. is relying on this information for the compliance of FATCA-CRS and agree not to hold Shriram Finance Ltd., their employees, authorised agents, service providers, liable for any consequences/losses/costs/ damaged incase of

any of the above particulars being false, incorrect or incomplete or in case of my/our not intimating /delay in intimating any changes to the above particulars.

(vi) I/We agree to indemnify Shriram Finance Ltd. in respect of any false, misleading, inaccurate and incomplete information regarding my/our "U.S." person status or other Country Residential status or in respect of any other information as may be required under applicable tax laws.

(vii) I/We certify that: a. I/We is (1) an applicant taxable as a US Person under the laws of the United States of America (U.S.) or any state or political subdivision thereof or therein, including the District of Columbia or of any other states of the U.S. (i) an estate the income of which is subject to U.S. federal income tax regardless of the source thereof. (This clause is applicable only if the depositor is a US Person/Citizen) b. I/We is an applicant taxable as a tax resident under the laws of country outside India. (This clause is applicable only if the depositor is a Tax resident outside India).

Place :

*Date : / /

*Signature :