Shriram Finance Limited

SHRIRAM Finance

Know Your Customer (KYC) and FATCA–CRS Application Form (Resident Individuals)

Please fill the information in CAPITAL Letters and ✔ in appropriate places

The information is sought under Prevention of Money Laundering Act, 2002, the rules notified thereunder and RBI guidelines on Know Your Customer
For existing Depositor, the information furnished herein will supersede the information available in the records of SFL

Customer's Details (as per KYC documents)   **Customer ID:**________________ (If existing Investors)   ***PAN (^Form 97)**________________

CKYC No (if any) ________________   ***Gender : M** ☐ **F** ☐ **Others** ☐   ***Date of Birth** D D M M Y Y Y Y

***Name** ________________

***Father Name** ________________

***Mother Name** ________________

Spouse Name (If Married) ________________

Country of Birth ________________ **City of Birth** ________________

***Communication Address:** ________________

____

City ________________ **State** ________________ ***Pin** ________________

Country ________________ **Birth Place** ________________

***Nationality** ________________ ***Citizenship** ________________

Paste latest passport size photograph with signature (DO NOT STAPLE)

IGNORE if already submitted earlier

***Permanent Address:** ________________

____

____

City ________________ **State** ________________ ***Pin** ________________

Country ________________

*** Marital Status:** ☐ Married ☐ Unmarried ☐ Others

***Mobile No** ________________ **#Email ID** ________________

*** Fields are Mandatory**   **# Mandatory for E-Receipt**   **^If investment amount is less than or equal to ₹50,000/- or aggregating to less than ₹5,00,000/- during financial year)**

***Category** ☐ Member of Public ☐ Shareholder ☐ Director ☐ Relative of Director ☐ Promoter

***Occupation Type:** ☐ Salaried ☐ Professional ☐ Self Employed
☐ Student ☐ Housewife ☐ Retired ☐ Other (Please specify________________)

***If Self Employed Nature of Business:** ☐ Manufacturing ☐ Professionals ☐ Service Provider ☐ Agriculture ☐ Trader
☐ Jewellers/Bullion ☐ Real Estate ☐ Stock Broker ☐ Other (Please specify________________)

*Please tick (✓) **If the following is applicable to you** ☐ Politically Exposed Person (PEP) ☐ Relative of PEP ☐ Not Applicable

***Annual Income:**
☐ Upto Rs. 3 Lakhs ☐ Above Rs. 3 Lakhs - 6 Lakhs ☐ Above Rs. 6 Lakhs - 15 Lakhs ☐ Above Rs. 15 Lakhs - 30 Lakhs ☐ Above Rs. 30 Lakhs

***Source of Fund:**
☐ Salaried ☐ Business Income ☐ Agriculture ☐ Investment Income ☐ Sale of Asset ☐ Other (Please Specify)________________

***Person with Disability:**
Differently Abled ☐ YES ☐ NO   **If yes and Investor is not an existing customer, then annexure for Differently abled (Annexure XXI) to be attached**

***Proof of Identity (Self Attested)**

	ID No.	Expiry Date
☐ Aadhaar issued by UIDAI	________________	
☐ Passport	________________	___/___/______
☐ Driving Licence	________________	___/___/______
☐ Voter ID Card	________________	
☐ Others :	________________	

***Proof of Address (Self Attested)**

	Expiry Date
☐ Aadhaar issued by UIDAI	
☐ Passport	___/___/______
☐ Driving Licence	___/___/______
☐ Voter ID Card	
☐ Others : ________________	

Please tick (✓) applicable tax resident declaration: (Any one)*
☐ I am a tax resident of India and not resident of any other country or ☐ I am a tax resident of the country/ies mentioned below

Country	Tax identification Number	Identification Type (TIN or Other please specify)	Address Type for Tax Purpose ☐ Residential ☐ Business ☐ Registered office Address for Tax Purpose ☐ Communication ☐ Permanent ☐ Please note Below
			Pin: State: Country:

#To also include USA, where the individual is a citizen/green card holder of USA   %In case Tax Identification No. is not available, kindly provide functional equivalents.

Depositor Declaration

I/We certify that:

(i) I/We have read and understood the FATCA-CRS Terms and Conditions and here by accept the same.

(ii) All the particulars (including Taxpayer Identification Number) given hereby are true, correct and complete to the best of my/our knowledge and belief.

(iii) I/We shall submit a new form to Shriram Finance Ltd., within 30 days if any information or certification in this form becomes incorrect / changed.

(iv) I/We agree that as may be required by regulators, Shriram Finance Ltd. may be required to report my/our details to such regulators or close or suspend my/our account without any obligation of advising me/us of the same.

(v) I/We understand that Shriram Finance Ltd. is relying on this information for the compliance of FATCA-CRS and agree not to hold Shriram Finance Ltd., their employees, authorised agents, service providers, liable for any consequences /losses/costs/ damaged in case of any of the above particulars being false, incorrect or incomplete or in case of my/our not intimating /delay in intimating anychanges to the above particulars.

(vi) I/We agree to indemnify Shriram Finance Ltd. in respect of any false, misleading, inaccurate and incomplete information regarding my/our "U.S." person status or other Country Residential status or in respect of any other information as may be required under applicable tax laws.

(vii) I/We certify that: a. I/We is (1) an applicant taxable as a US Person under the laws of the United States of America (U.S.) or any state or political subdivision thereof or therein, including the District of Columbia or of any other states of the U.S. (i) an estate the income of which is subject to U.S. federal income tax regardless of the source thereof. (This clause is applicable only if the depositor is a US Person/Citizen) b. I/We is an applicant taxable as a tax resident under the laws of country outside India.
(This clause is applicable only if the depositor is a Tax resident outside India).

Place : ________________   *Date : ___/___/______   *Signature : ________________